

# Shapelets: A Python package implementing shapelet functions and their applications

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## Software

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## Summary

Shapelets is a Python-based software package that implements several shapelet functions (Refregier, 2003) and some of their significant applications in science and astronomy. Shapelet functions are a complete and orthogonal set of localized basis functions with mathematical properties convenient for manipulation and analysis of images from a broad range of applications. Over the past few decades, there have been several different shapelet function formulations developed and applied in the areas of astronomy/astrophysics (Bergé et al., 2019; Birrer et al., 2015; Desvignes et al., 2016; Lentati et al., 2015; Massey & Refregier, 2005; Refregier, 2003), self-assembled nanomaterials (Akdeniz et al., 2018; Suderman et al., 2015; Tino et al., 2024), computational neuroscience (Sharpee & Victor, 2009; Victor et al., 2006), and medical imaging (Weissman et al., 2004).

The shapelets software package provides reference implementations and documentation for four different shapelet formulations: cartesian (Refregier, 2003), polar (Massey & Refregier, 2005), orthonormal polar with constant radial scale (Akdeniz et al., 2018), and exponential (Bergé et al., 2019). Additionally, the shapelets package provides reference implementations of several applications of shapelet functions in astronomy (galactic image decomposition and reconstruction (Massey & Refregier, 2005; Refregier, 2003)) and self-assembly (quantification of nanostructure order (Akdeniz et al., 2018; Suderman et al., 2015; Tino et al., 2024)). The coding style of shapelets is based on that of scipy.special (Virtanen et al., 2020).

For ease of use, shapelets also provides a text-based user interface and Python entry points (custom terminal commands) to improve accessibility for a broad range of potential users in science and engineering, including those without a strong Python programming background. For example, the text configuration file interface can be invoked via shapelets config, and running the unit tests associated with the package can be invoked via shapelets-test.

Lastly, the shapelets package includes a set of detailed examples which demonstrate usage of the software through both the text configuration and programmatic interfaces. These examples include both astronomy and self-assembly applications, providing users with a basis for developing their own applications for the package and shapelet functions in general.

## Statement of Need

Shapelets are a class of complete localized orthogonal basis functions with a broad range of applications in image processing and reconstruction (Akdeniz et al., 2018; Massey & Refregier, 2005; Refregier, 2003; Suderman et al., 2015; Tino et al., 2024). Despite their



increasingly widespread use, there is currently no single software package that is both broadly accessible (e.g. written in Python or other high-level programming language) and implements several useful applications. Currently, there exists an open-source astronomy-focused shapelet software package (Massey & Refregier, 2005), however, it is written in the Interactive Data Language (IDL) programming language which is not widely used in the science and engineering communities. Furthermore, this package has not been updated in over a decade. Given the increasingly broad usage of shapelets in areas outside of astronomy/astrophysics, an open-source Python-based shapelets software package would provide access to these functions and their applications for a larger community, along with facilitating open-source scientific software development through the existence of a centralized software package that allows for contribution and collaboration.

Similarly, quantification of structure-property relationships for nanomaterials is critical for continued progress in materials research (Abukhdeir, 2016; Tino et al., 2024). This is especially true for nanomaterials with complex spatially-varying patterns, such as self-assembled materials (Abukhdeir, 2016). There are other methods to quantify nanostructure order, such as bond-orientational order analysis (Brock, 1992), but these methods do not provide pixel-scale information and do not have readily available open-source software implementations. Methods to quantify nanostructure order, such as those implemented in the shapelets package, would significantly advance (nano)materials research and provide researchers with accessible tools to quantify order for their own material images.

The overall aim of the shapelets package is to address these needs through (1) providing well-documented and accessible code for researchers interested in using these shapelet functions and existing applications and (2) promoting open-source collaboration for future development of shapelet-related research.

## Features

The table below summarizes the different shapelet functions implemented in the shapelets package.

Shapelet Functions	Description
Cartesian	Cartesian shapelets (Refregier, 2003) via shapelets.functions.cartesian1D,
	shapelets.functions.cartesian2D
Polar	Polar shapelets (Massey & Refregier, 2005) via shapelets.functions.polar2D
Orthonor-	Orthonormal polar shapelets with constant radial scale (Akdeniz et al., 2018)
mal polar	via shapelets.functions.orthonormalpolar2D
Exponen-	Exponential shapelets (Bergé et al., 2019) via
tial	<pre>shapelets.functions.exponential1D,</pre>
	shapelets.functions.exponential2D

The table below summarizes the specific shapelet applications implemented in this package.

Shapelet Applications	Description
Galaxy	Galactic image decomposition & reconstruction (Refregier, 2003) via
decomposition	<pre>shapelets.astronomy.decompose_galaxies</pre>
Response	Response distance method for self-assembly microscopy imaging (Suderman
distance	et al., 2015) via shapelets.self_assembly.rdistance
Orientation	Local pattern orientation for self-assembly microscopy imaging (Tino et al.,
	2024) via shapelets.self_assembly.orientation



Shapelet Applications	Description
Defect identification	Defect identification method for self-assembly microscopy imaging (Tino et al., 2024) via shapelets.self_assembly.defectid

More information, such as installation instructions and application-specific examples can be found on the package website.

## **User Interface Methods**

The shapelets Python package can be used in two different ways: text-based configuration files and directly via interactive or script-based Python programming.

### Understanding the Configuration File Method

The text-based user interface for shapelets is centered around configuration files and the CLI (command line interface). Each use of the shapelets package (via configuration files) should have a main directory (here called "shapelets\_example") with standard subdirectories and required files as shown in Figure 1.



Figure 1: Sample directory hierarchy.

The main (shapelets\_example/) directory contains the main configuration file (e.g., config) which is a plain-text file specifying parameters or methods to be used. Acceptable parameters and options for the configuration file can be found throughout the examples, depending on the shapelet-based method being applied. The images/ subdirectory contains data for image analysis and must be present. The output/ subdirectory is created by the shapelets software and contains output data/images based on the analysis specified in the configuration file (config).

Alternatively, the Python-based software interface of the shapelets package can be used either interactively or via scripting through standard import of either shapelet function implementations and/or application submodules.

## **Examples of Usage**

For each example included in the package, implementations using both the configuration file and programming-based interfaces are demonstrated. Several detailed image processing examples were developed that demonstrate the use and capabilities of the shapelets package for both astronomy and self-assembly related applications. See the package website for more example details and documentation, and the package code for related files.



Examples 1-3 demonstrate use of the shapelets.self\_assembly submodule, with specific applications for the response distance method (Suderman et al., 2015), defect identification method (Tino et al., 2024), and local pattern orientation (Tino et al., 2024) (respectively).

Example 4 demonstrates use of the shapelets.astronomy submodule for the decomposition and reconstruction of galactic images (Refregier, 2003). All examples have instructions to use the shapelets package either via configuration files or importing relevant submodules in pre-configured .py files (scripting). Examples 1, 2, and 4 are shown here.

## **Example 1 - Response Distance Method**

Example 1 demonstrates use of the shapelets.self\_assembly submodule to compute the response distance method (Suderman et al., 2015). This example uses a simulated stripe self-assembled surface microscopy image (Suderman et al., 2015), shown in Figure 2.



Figure 2: Simulated stripe self-assembled nanostructured surface (Suderman et al., 2015).

#### **Response Distance**

The response distance (Suderman et al., 2015) is computed as

$$d_{i,j} = \min \|\vec{R} - \vec{r_{i,j}}\|_2$$

where  $\vec{r_{i,j}}$  denotes the given response vector at pixel location  $\{i, j\}$  and  $\vec{R}$  is the reference set of response vectors.

#### **Configuration file Method**

The configuration file (config) contains the following information,

```
[general]
image_name = lamSIM1.png
method = response_distance
```

```
[response_distance]
shapelet_order = default
num_clusters = 20
ux = [50, 80]
uy = [150, 180]
```

where

shapelet\_order specifies the maximum shapelet order (m') to use for convolution operations (i.e., m = [1, m']) (Tino et al., 2024),



- num\_clusters specifies the number of clusters required for k-means clustering (Wu, 2012), and
- [ux, uy] specifies the coordinates of the user-defined reference subdomain required for the response distance method (Suderman et al., 2015).

Note - the shapelet\_order parameter in this example is based on the orthonormal polar shapelet definition from Akdeniz et al. (2018).

Possible values for each parameter, including default values where applicable, are available in the example documentation in the package website. To run this example, navigate to the directory shapelets/examples/example\_1. Then, execute shapelets config via the command line. The output, shown in Figure 3, is created in shapelets/examples/example\_1/output, and contains the following two images corresponding to the response distance scalar field and the superimposed field on the original image.



Figure 3: Response distance (left) superimposed onto Figure 2 (right).

**Note** - Typically, the user may not know the **ux** and **uy** parameters for the image when computing the response distance for the first time. If this is the case, see the section "Selecting subdomain bounds during runtime" in the Example 1 documentation.

#### Scripting Method

For users wishing to interact with the shapelets package programmatically, the example\_1.py file is provided and yields the same output as seen above without any code modifications needed. After executing example\_1.py, the output (Figure 3) is created in shapelets/examples/example\_1/output.

#### **Example 2 - Defect Identification Method**

Example 2 demonstrates use of the shapelets.self\_assembly submodule to execute defect identification (Tino et al., 2024). This example uses a simulated hexagonal self-assembled surface microscopy image (Suderman et al., 2015), shown in Figure 4.





Figure 4: Simulated hexagonal self-assembled nanostructured surface (Suderman et al., 2015).

#### **Defect Identification Method**

The defect identification method (Tino et al., 2024) is a modification of the response distance method (Suderman et al., 2015). The user is required to manually select clusters associated with defects or defect structures, and the *defect response distance* is computed for each cluster. The *defect response distance* is similar to the response distance, but the reference subdomain is the centroid response vector of each cluster (and not a set of reference response vectors). For example, given cluster C with centroid  $C_c$ , the defect response distance is computed as

$$d_i = \|C_c - c_i\|_2$$

where  $c_i$  is a cluster response vector belonging to the (k-means identified) cluster C and is computed for all response vectors in *each* cluster.

#### **Configuration file Method**

The configuration file (config) contains the following information,

[general] image\_name = hexSIM1.png method = identify\_defects

[identify\_defects]
pattern\_order = hexagonal
num\_clusters = 10

where

- pattern\_order specifies the dominant pattern symmetry in the image, and
- num\_clusters specifies the number of clusters desired for k-means clustering (Wu, 2012).

Possible values for each parameter, including default values where applicable, are available in the example documentation in the package website. To execute this example, navigate the terminal directory to "shapelets/examples/example\_2". Then, execute shapelets config via the command line interface. The user will be required to select the clusters associated with defects or defect structures during runtime. Details for this specific process can be found in the example documentation in the package website.

The output, shown in Figure 5, is created in shapelets/examples/example\_2/output, containing four images corresponding to (1) the locations of each cluster within the image, (2) radar chart representations of the centroid response vectors from k-means clustering (Wu, 2012), (3) the defect response distance scalar field, and (4) the defect response distance scalar field superimposed onto the original image.





Figure 5: Defect identification method (Tino et al., 2024) applied to Figure 4.

## Scripting Method

For users wishing to interact with the shapelets package programmatically, the example\_2.py file is provided and yields the same output as seen above without any code modifications needed. After executing example\_2.py, the output (Figure 5) is created in shapelets/examples/example\_2/output.

## Example 4 - Galactic Image Decomposition & Reconstruction

Example 4 demonstrates use of the shapelets.astronomy submodule to decompose a collection of images of galaxies into a linear combination of shapelet functions. This example uses a subset of images of galaxies from the Hubble Deep Field North (Refregier, 2003), shown in Figure 6.



Figure 6: Galaxy image subset for analysis: linear (left) and mean normalized (right) greyscale images.

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#### **Galaxy Decomposition**

The galaxy decomposition method is based on the properties of cartesian shapelet functions (Refregier, 2003), where any (image) function can be represented (or approximated) as a sum of scaled shapelet functions. In this example, the astronomical intensity/pixel data is stored using the flexible image transport system (FITS) file format, designed to standarize the exchange of astronomical image data between observatories. These intesities represent localized celestial objects (such as galaxies) that, once separated from the surrounding image, are decomposed into a linear combination of shapelet functions.

#### **Configuration file Method**

The configuration file (config) contains the following information,

[general]
fits\_name = galaxies.fits
method = galaxy\_decompose

[galaxy\_decompose]
shapelet\_order = default
compression\_order = 20

where

- shapelet\_order specifies the maximum order of shapelets used in the decomposition, and
- compression\_order specifies the number of significant shapelet coefficients used in the final reconstruction.

Note - the shapelet\_order parameter in this example is based on the cartesian shapelet definition from Refregier et al. (2003).

Possible values for each parameter, including default values where applicable, are available in the example documentation in the package website. To execute this example, navigate to the directory shapelets/examples/example\_4. Then, execute shapelets config via the command line interface.

The output, shown in Figure 7, is created in shapelets/examples/example\_4/output, containing two types of images corresponding to (1) the locations of galaxies highlighted on the linear and mean normalized image, and (2) images containing information about each decomposed galaxy. These images include: the subdomain of the original image, reconstructions of the galaxy using all calculated coefficients and a compressed set of coefficients, and the compressed reconstruction relative error.



Figure 7: Galaxy map (left) and decomposed galaxy example (right).

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#### Scripting Method

For users wishing to interact with the shapelets package programmatically, the example\_4.py file is provided to yield the same output as seen above without any code modifications needed. After executing example\_4.py, the output (Figure 7) is created in shapelets/examples/example\_4/output.

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